

Please type e plus sign (+) inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/21 (6-98)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 7

Application Number	09/993,777
Filing Date	November 6, 2001
First Named Inventor	Wild et al.
Group Art Unit	To be Assigned
Examiner Name	To be Assigned
Attorney Docket Number	SY01106KQ1

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO Form 1449(4 pages), Certificate of mailing (PTO/SB92) and Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Nancy V. Connelly, Reg. No. 46,638
Signature	
Date	February 1, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	
Signature	
Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT CASE: SY01106KQ1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

-----X  
In re Application of: Wild *et al.*

For Patent For:  
RECOMBINANT LARYNGOTRACHEITIS  
VIRUS AND USES THEREOF

Serial No.: 09/993,777

Filing Date: November 6, 2001  
-----X

Examiner: To be Assigned

Group Art Unit: To be Assigned

Date: February 1, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

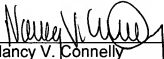
Applicant respectfully requests that the following documents, as listed on the accompanying form PTO-1449, be considered and made of record in the above-identified application. Copies of these references can be found in the following cases: U.S. Serial Nos. 08/468,190, 08/410,121 and 08/126,597. If the Examiner requests that any or all of these references be resubmitted, please contact the undersigned.

Applicant's submission of this Information Disclosure Statement is not an admission that any reference cited herein qualifies as prior art.

No fee is believed due because this Information Disclosure Statement is filed within three months of the filing date of the application. However, in the event that a fee is due, the Commissioner is authorized to charge any fees in order to enter this Information Disclosure Statement to Deposit Account No. 19-0365.

Respectfully submitted,

SCHERING-PLOUGH CORPORATION  
Patent Department, K-6-1, 1990  
2000 Galloping Hill Road  
Kenilworth, New Jersey 07033-0530  
Date: February 1, 2002

  
Nancy V. Connelly  
Agent for Applicant(s)  
Registration No.: 46,638  
Telephone No.: (908) 298-4107



Attorney Docket No.: SY01106KQ1

Serial No.: 09/993,777

Filing Date: November 6, 2001

Inventor: Wild et al.

PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

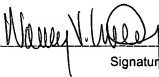
### Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

on February 1, 2001

Date

  
Signature

Nancy V. Connelly

Typed or printed name of person of signing Certificate

**Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.**

**Documents enclosed:**

Transmittal form (PTO/SB/21), Information disclosure statement (1 page),

IDS form (PTO-1449) (4 pages) and return postcard

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.